

# FISCAL YEAR 2021 GRANT DISTRIBUTION

<b>AML Fund Collections and Allocations for FY 2020</b>	
<b>Total AML Fee Collections for FY 2020</b>	<b>119,286,130.35</b>
<b>State and Tribal share (initial):</b> (50% of Fee collections)	<b>59,643,065.19</b>
<b>Historic Coal funds (initial):</b>	<b>75,735,938.11</b>
30% of Fee collections	35,785,839.11
Transferred to Historic Coal from Certified	\$39,950,099.00
<b>Status of AML Fund</b>	
<b>AML UNAPPROPRIATED BALANCE AS OF 11/30/20:</b>	
Total Receipts (FY 1977 thru FY 2020 Collections + Investment Earnings)	\$11,679,992,181.63
Total Appropriations, Mandatory Distributions and transfers to the UMWA (FY 1977 thru FY 2020)	(9,465,720,900.27)
Unappropriated Balance	<b>\$2,214,271,281.36</b>
<b>ALLOCATION OF AML UNAPPROPRIATED BALANCE AS OF 11/30/20:</b>	
Historic Coal Allocation	1,619,148,328.04
Federal Expenses Allocation	328,391,426.10
Reserve for UMWA Health and Retirement Funds	153,890,081.00
Total Federal Share Allocation	\$2,101,429,835.14
Total State Share Allocation	112,841,446.22
Total Allocation of Unappropriated Balance	<b>\$2,214,271,281.36</b>

Comments: The "AML Fund Collections and Allocations for FY 2020" section shows total fee collections for coal produced in Fiscal Year (FY) 2020. The State and Tribal share allocated, which is 50% of total collections, will be the starting point for the State Share distribution on page 2. The Historic Coal funds include 30% of total collections plus funds transferred to Historic Coal (detailed on page 4). Transferred funds are from collections for certified States and Tribes as calculated on page 3.

The "Status of the AML Fund" section shows fund balances as of November 30, 2020. The sequestered funds are not part of the unappropriated balance as the Federal budget authority states that these AML funds are unavailable and requires them to be tracked in a separate account.

As in previous years, November 30th was chosen as the cut-off date to include fee collections for coal produced in the final FY 2020. These amounts are received from December 1, 2019 to November 30, 2020. To ensure that these collections are accounted for properly, the calculation of the distribution was adjusted from the Federal Fiscal Year.

The Fiscal Year 2021 AML Distribution shows AML Fund balances for the collection of AML fees, interest earned, and transactions through November 30, 2020. Whereas OSMRE's FY2022 Budget Justifications Table 8 entitled *Summary Status of Abandoned Mine Reclamation Fund* shows the AML Fund balances as of September 30, 2020.

# FY 2021 STATE AND TRIBAL SHARE DISTRIBUTION

State/Tribe	Certified (Yes/No)	50% of Total Collections For FY 2020	Certified States and Tribes Ineligible	Eligible States/Tribes Share Collections	State Share Distribution (rounded)
Alabama	No	757,690.77	-	757,690.77	757,691
Alaska	No	141,052.35	-	141,052.35	141,052
Arkansas	No	-	-	-	-
Colorado	No	924,092.94	-	924,092.94	924,093
Illinois	No	2,167,382.54	-	2,167,382.54	2,167,383
Indiana	No	2,040,098.83	-	2,040,098.83	2,040,099
Iowa	No	-	-	-	-
Kansas	No	-	-	-	-
Kentucky	No	1,873,276.89	-	1,873,276.89	1,873,277
Louisiana	Yes	36,352.54	(36,352.54)	-	-
Maryland	No	132,668.88	-	132,668.88	132,669
Mississippi	Yes	105,456.24	(105,456.24)	-	-
Missouri	No	22,633.66	-	22,633.66	22,634
Montana	Yes	3,089,430.05	(3,089,430.05)	-	-
New Mexico	No	838,721.56	-	838,721.56	838,722
North Dakota	No	1,052,870.65	-	1,052,870.65	1,052,871
Ohio	No	371,803.18	-	371,803.18	371,803
Oklahoma	No	7,863.07	-	7,863.07	7,863
Pennsylvania	No	2,817,715.56	-	2,817,715.56	2,817,716
Tennessee	No	18,832.50	-	18,832.50	18,833
Texas	Yes	822,821.38	(822,821.38)	-	-
Utah	No	785,952.90	-	785,952.90	785,953
Virginia	No	765,924.58	-	765,924.58	765,925
West Virginia	No	4,974,384.50	-	4,974,384.50	4,974,385
Wyoming	Yes	34,968,714.80	(34,968,714.80)	-	-
Crow Tribe	Yes	299,617.46	(299,617.46)	-	-
Hopi Tribe	Yes	-	-	-	-
Navajo Nation	Yes	627,707.36	(627,707.36)	-	-
<b>Total</b>		<b>\$59,643,065.19</b>	<b>(\$39,950,099.83)</b>	<b>\$19,692,965.36</b>	<b>\$19,692,969</b>

**Comments:**

This page presents the FY 2021 State and Tribal share distribution. The FY 2020 State and Tribal share from page 1 is broken out for each State and Tribe. Collections for certified States and Tribes are shown. However, certified States and Tribes are ineligible to receive their State or Tribal Share that is collected in fees as part of their AML distribution. The FY 2021 State and Tribal share distribution in the last column is rounded to the nearest dollar for each State and Tribe.

# FY 2021 CERTIFIED INLIEU FUNDS DISTRIBUTION

Page 3

State/Tribe	Certified (Yes/No)	Certified State/Tribal Share Collections (rounded)	Certified In Lieu Fund Distribution
Alabama	No	-	-
Alaska	No	-	-
Arkansas	No	-	-
Colorado	No	-	-
Illinois	No	-	-
Indiana	No	-	-
Iowa	No	-	-
Kansas	No	-	-
Kentucky	No	-	-
Louisiana	Yes	36,352.54	36,353
Maryland	No	-	-
Mississippi	Yes	105,456.24	105,456
Missouri	No	-	-
Montana	Yes	3,089,430.05	3,089,430
New Mexico	No	-	-
North Dakota	No	-	-
Ohio	No	-	-
Oklahoma	No	-	-
Pennsylvania	No	-	-
Tennessee	No	-	-
Texas	Yes	822,821.38	822,821
Utah	No	-	-
Virginia	No	-	-
West Virginia	No	-	-
Wyoming	Yes	34,968,714.80	34,968,715
Crow Tribe	Yes	299,617.46	299,617
Hopi Tribe	Yes	-	-
Navajo Nation	Yes	627,707.36	627,707
<b>Total</b>		<b>\$39,950,099.83</b>	<b>\$39,950,099</b>

**Comments:**

As in the past, certified States and Tribes are eligible for Treasury funding equivalent to 100% of their State and Tribal share allocations. The FY 2021 Certified In Lieu fund distribution is shown in the last column rounded to the nearest dollar for each State and Tribe.

**FY 2021 HISTORIC COAL FUND DISTRIBUTION**

State/Tribe	Historical Coal Prod Tonnage (x 1000)	Eligible for Historic Coal	Eligible Historic Coal Tonnage	Percentage of Total	Potential Historic Coal Distribution	Total Historic Coal Distribution (rounded)
Alabama	1,254,440	Yes	1,254,440	2.8935%	2,191,406	2,191,406
Alaska	13,536	Yes	13,536	0.0312%	23,646	23,646
Arkansas	104,296	Yes	104,296	0.2406%	182,197	182,197
Colorado	611,350	Yes	611,350	1.4101%	1,067,979	1,067,979
Illinois	4,647,256	Yes	4,647,256	10.7193%	8,118,382	8,118,382
Indiana	1,513,001	Yes	1,513,001	3.4899%	2,643,091	2,643,091
Iowa	367,329	Yes	367,329	0.8473%	641,694	641,694
Kansas	297,779	Yes	297,779	0.6869%	520,196	520,196
Kentucky	4,554,605	Yes	4,554,605	10.5056%	7,956,529	7,956,529
Louisiana	-	No	-	0.0000%	-	-
Maryland	295,137	Yes	295,137	0.6808%	515,581	515,581
Mississippi	-	No	-	0.0000%	-	-
Missouri	359,548	Yes	359,548	0.8293%	628,101	628,101
Montana	282,225	No	-	0.0000%	-	-
New Mexico	148,627	Yes	148,627	0.3428%	259,639	259,639
North Dakota	190,256	Yes	190,256	0.4388%	332,362	332,362
Ohio	2,848,828	Yes	2,848,828	6.5711%	4,976,673	4,976,673
Oklahoma	214,174	Yes	214,174	0.4940%	374,145	374,145
Pennsylvania	15,022,237	Yes	15,022,237	34.6502%	26,242,640	26,242,640
Tennessee	526,185	Yes	526,185	1.2137%	919,203	919,203
Texas	60,503	No	-	0.0000%	-	-
Utah	353,866	Yes	353,866	0.8162%	618,175	618,175
Virginia	1,397,951	Yes	1,397,951	3.2245%	2,442,108	2,442,108
West Virginia	8,633,592	Yes	8,633,592	19.9142%	15,082,191	15,082,191
Wyoming	594,834	No	-	0.0000%	-	-
Crow Tribe	14,119	No	-	0.0000%	-	-
Hopi Tribe	12,860	No	-	0.0000%	-	-
Navajo Nation	101,943	No	-	0.0000%	-	-
<b>Total</b>	<b>44,420,477</b>		<b>43,353,993</b>	<b>100.0000%</b>	<b>\$75,735,938.11</b>	<b>\$75,735,938</b>

Comments: This page presents the distribution of the Historic Coal funds allocated and transferred for FY 2021, as shown on page 1. This represents the 30% allocation plus the amount transferred from certified States and Tribes equal to their FY 2021 Certified In Lieu distribution. Pursuant to section 411(h)(4)(A) as amended by the Continuing Resolution for FY 2021, OSMRE transferred the entire State and Tribal share allocation for certified States and Tribes to Historic Coal, regardless of whether the certified State and Tribe received full Certified In Lieu equivalent.

This total is distributed based on each State's percentage of coal tonnage produced before the passage of SMCRA in 1977. OSMRE used tonnage data from OSMRE's Environmental Impact Statement of 1980. Only States and Tribes that are uncertified and have unfunded Priority 1&2 problems in the AML inventory are eligible for Historic Coal funds. As a result, tonnage percentages are adjusted to exclude in eligible States and Tribes. Each State's individual calculated percentage is multiplied by the total historic coal funds available to calculate a potential distribution. The FY 2021 Historic Coal distribution in the last column is rounded to the nearest dollar for each State.

# FY 2021 MINIMUM PROGRAM MAKE UP FUNDS DISTRIBUTION

State/Tribal Share Distribution	Certified In Lieu Fund Distribution	Historic Coal Distribution	Preliminary Total	Eligible for Minim. Prog	Minimum Program Need	Minimum Program Fund Distribution	
Alabama	757,691	-	2,191,406	2,949,097	Yes	50,903	50,903
Alaska	141,052	-	23,646	164,698	Yes	2,835,302	2,835,302
Arkansas	-	-	182,197	182,197	Yes	2,817,803	2,817,803
Colorado	924,093	-	1,067,979	1,992,072	Yes	1,007,928	1,007,928
Illinois	2,167,383	-	8,118,382	10,285,765	Yes	-	-
Indiana	2,040,099	-	2,643,091	4,683,190	Yes	-	-
Iowa	-	-	641,694	641,694	Yes	2,358,306	2,358,306
Kansas	-	-	520,196	520,196	Yes	2,479,804	2,479,804
Kentucky	1,873,277	-	7,956,529	9,829,806	Yes	-	-
Louisiana	-	36,353	-	36,353	No	-	-
Maryland	132,669	-	515,581	648,250	Yes	2,351,750	2,351,750
Mississippi	-	105,456	-	105,456	No	-	-
Missouri	22,634	-	628,101	650,735	Yes	2,349,265	2,349,265
Montana	-	3,089,430	-	3,089,430	No	-	-
New Mexico	838,722	-	259,639	1,098,361	Yes	1,901,639	1,901,639
North Dakota	1,052,871	-	332,362	1,385,233	Yes	1,614,767	1,614,767
Ohio	371,803	-	4,976,673	5,348,476	Yes	-	-
Oklahoma	7,863	-	374,145	382,008	Yes	2,617,992	2,617,992
Pennsylvania	2,817,716	-	26,242,640	29,060,356	Yes	-	-
Tennessee	18,833	-	919,203	938,036	Yes	2,061,964	2,061,964
Texas	-	822,821	-	822,821	No	-	-
Utah	785,953	-	618,175	1,404,128	Yes	1,595,872	1,595,872
Virginia	765,925	-	2,442,108	3,208,033	Yes	-	-
West Virginia	4,974,385	-	15,082,191	20,056,576	Yes	-	-
Wyoming	-	34,968,715	-	34,968,715	No	-	-
Crow Tribe	-	299,617	-	299,617	No	-	-
Hopi Tribe	-	-	-	0	No	-	-
Navajo Nation	-	627,707	-	627,707	No	-	-
<b>Total</b>	<b>\$19,692,969</b>	<b>\$39,950,099</b>	<b>\$75,735,938</b>	<b>135,379,006</b>		<b>26,043,295</b>	<b>26,043,295</b>

Comments: This page presents the Minimum Program Make Up funding distribution. The State and Tribal Share, Certified In Lieu, and Historic Coal distributions for each State and Tribe (calculated on pages 2-4) are added together to produce a preliminary total distribution. Only States and Tribes that are uncertified and have unfunded Priority 1&2 coal problems in the AML inventory are eligible for minimum program funding. The Minimum Program Need column shows the amount each State needs to bring total funding up to \$3,000,000 or the amount of the unfunded high priority coal problems in its inventory, whichever is lower. The FY 2021 Minimum Program Make Up distribution in the last column is rounded up to the nearest dollar for each State.

# FY 2021 TOTAL MANDATORY GRANT DISTRIBUTION

State/Tribe	State and Tribal Share	Certified In Lieu Funds	Historic Coal Funds	Program Make Up Funds	Total Mandatory Distribution
Alabama	757,691	-	2,191,406	50,903	3,000,000.00
Alaska	141,052	-	23,646	2,835,302	3,000,000.00
Arkansas	-	-	182,197	2,817,803	3,000,000.00
Colorado	924,093	-	1,067,979	1,007,928	3,000,000.00
Illinois	2,167,383	-	8,118,382	-	10,285,765.00
Indiana	2,040,099	-	2,643,091	-	4,683,190.00
Iowa	-	-	641,694	2,358,306	3,000,000.00
Kansas	-	-	520,196	2,479,804	3,000,000.00
Kentucky	1,873,277	-	7,956,529	-	9,829,806.00
Louisiana	-	36,353.00	-	-	36,353.00
Maryland	132,669	-	515,581	2,351,750	3,000,000.00
Mississippi	-	105,456.00	-	-	105,456.00
Missouri	22,634	-	628,101	2,349,265	3,000,000.00
Montana	-	3,089,430.00	-	-	3,089,430.00
New Mexico	838,722	-	259,639	1,901,639	3,000,000.00
North Dakota	1,052,871	-	332,362	1,614,767	3,000,000.00
Ohio	371,803	-	4,976,673	-	5,348,476.00
Oklahoma	7,863	-	374,145	2,617,992	3,000,000.00
Pennsylvania	2,817,716	-	26,242,640	-	29,060,356.00
Tennessee	18,833	-	919,203	2,061,964	3,000,000.00
Texas	-	822,821.00	-	-	822,821.00
Utah	785,953	-	618,175	1,595,872	3,000,000.00
Virginia	765,925	-	2,442,108	-	3,208,033.00
West Virginia	4,974,385	-	15,082,191	-	20,056,576.00
Wyoming	-	34,968,715.00	-	-	34,968,715.00
Crow Tribe	-	299,617.00	-	-	299,617.00
Hopi Tribe	-	-	-	-	-
Navajo Nation	-	627,707.00	-	-	627,707.00
<b>Nat'l Total</b>	<b>\$19,692,969</b>	<b>\$39,950,099.00</b>	<b>\$75,735,938</b>	<b>\$26,043,295</b>	<b>\$161,422,301.00</b>

Comments: This page shows the Total Mandatory AML distribution for FY 2021 as calculated in the previous pages.

**FY 2021 AML MANDATORY DISTRIBUTION\***

State/Tribe	State and Tribal Share	5.7% Reduction	State and Tribal Share at 94.3%	Certified In Lieu Funds	5.7% Reduction	Certified In Lieu Funds at 94.3%	Historic Coal Funds	5.7% Reduction	Historic Coal Funds at 94.3%	Minimum Program Make Up Funds	5.7% Reduction	Minimum Program Make Up at 94.3%	Total AML Mandatory Calculation at 100%	Total Mandatory Distribution (after reductions)
Alabama	757,691	43,188	714,503	-	-	-	2,191,406	124,910	2,066,496	50,902	2,901.00	48,001	3,000,000	2,829,000
Alaska	141,052	8,040	133,012	-	-	-	23,646	1,348	22,298	2,835,302	161,612	2,673,690	3,000,000	2,829,000
Arkansas	-	-	-	-	-	-	182,197	10,385	171,812	2,817,803	160,615	2,657,188	3,000,000	2,829,000
Colorado	924,093	52,673	871,420	-	-	-	1,067,979	60,875	1,007,104	1,007,928	57,452.00	950,476	3,000,000	2,829,000
Illinois	2,167,383	123,541	2,043,842	-	-	-	8,118,382	462,748	7,655,634	-	-	-	10,285,765	9,699,476
Indiana	2,040,099	116,286	1,923,813	-	-	-	2,643,091	150,656	2,492,435	-	-	-	4,683,190	4,416,248
Iowa	-	-	-	-	-	-	641,694	36,577	605,117	2,358,306	134,423	2,223,883	3,000,000	2,829,000
Kansas	-	-	-	-	-	-	520,196	29,651	490,545	2,479,804	141,349	2,338,455	3,000,000	2,829,000
Kentucky	1,873,277	106,777	1,766,500	-	-	-	7,956,529	453,522	7,503,007	-	-	-	9,829,806	9,269,507
Louisiana	-	-	-	36,353.00	2,072	34,281.00	-	-	-	-	-	-	36,353	34,281
Maryland	132,669	7,562	125,107	-	-	-	515,581	29,388	486,193	2,351,750	134,050.0	2,217,700	3,000,000	2,829,000
Mississippi	-	-	-	105,456.00	6,011	99,445.00	-	-	-	-	-	-	105,456	99,445
Missouri	22,634	1,290	21,344	-	-	-	628,101	35,802	592,299	2,349,265	133,908.0	2,215,357	3,000,000	2,829,000
Montana	-	-	-	3,089,430.00	176,098	2,913,332.00	-	-	-	-	-	-	3,089,430	2,913,332
New Mexico	838,722	47,807	790,915	-	-	-	259,639	14,799	244,840	1,901,638	108,393	1,793,245	3,000,000	2,829,000
North Dakota	1,052,871	60,014	992,857	-	-	-	332,362	18,945	313,417	1,614,768	92,042	1,522,726	3,000,000	2,829,000
Ohio	371,803	21,193	350,610	-	-	-	4,976,673	283,670	4,693,003	-	-	-	5,348,476	5,043,613
Oklahoma	7,863	448	7,415	-	-	-	374,145	21,326	352,819	2,617,992	149,226	2,468,766	3,000,000	2,829,000
Pennsylvania	2,817,716	160,610	2,657,106	-	-	-	26,242,640	1,495,830	24,746,810	-	-	-	29,060,356	27,403,916
Tennessee	18,833	1,073	17,760	-	-	-	919,203	52,395	866,808	2,061,964	117,532	1,944,432	3,000,000	2,829,000
Texas	-	-	-	822,821.00	46,901	775,920.00	-	-	-	-	-	-	822,821	775,920
Utah	785,953	44,799	741,154	-	-	-	618,175	35,236	582,939	1,595,872	90,965.00	1,504,907	3,000,000	2,829,000
Virginia	765,925	43,658	722,267	-	-	-	2,442,108	139,200	2,302,908	-	-	-	3,208,033	3,025,175
West Virginia	4,974,385	283,540	4,690,845	-	-	-	15,082,191	859,685	14,222,506	-	-	-	20,056,576	18,913,351
Wyoming	-	-	-	34,968,715.00	1,993,217	32,975,498.00	-	-	-	-	-	-	34,968,715	32,975,498
Crow Tribe	-	-	-	299,617.00	17,078	282,539.00	-	-	-	-	-	-	299,617	282,539
Hopi Tribe	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Navajo Nation	-	-	-	627,707.00	35,779	591,928.00	-	-	-	-	-	-	627,707	591,928
<b>Nat'l Total</b>	<b>\$19,692,969</b>	<b>\$1,122,499</b>	<b>\$18,570,470</b>	<b>\$39,950,099.00</b>	<b>\$2,277,156</b>	<b>\$37,672,943.00</b>	<b>\$75,735,938</b>	<b>\$4,316,948</b>	<b>\$71,418,990</b>	<b>\$26,043,294</b>	<b>\$1,484,468</b>	<b>\$24,558,826</b>	<b>\$161,422,301</b>	<b>\$152,221,229</b>

\*All figures above rounded to the nearest dollar

Funding for Abandoned Mine Land (AML) grants come from coal receipts collected from December 1, 2019 through November 30, 2020 and deposited in the AML trust fund and also from general Treasury funds. These funds are distributed through a congressionally mandated formula under the Surface Mining Control and Reclamation Act of 1977 (SMCRA). Reductions required under sequestration for Fiscal Year 2021 were calculated by the Office of Management and Budget in its Report to Congress on the Joint Committee Reductions for Fiscal Year 2021. The required reductions were effective on the date of the issuance of the Presidential sequestration order (pursuant to 2 USC 901a), which for Fiscal Year 2021 was February 10, 2020.