### **SECTION 5**

### COMPLIANCE INFORMATION

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### **SECTION 5**

### COMPLIANCE INFORMATION

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### **SECTION 5**

### COMPLIANCE INFORMATION

### LIST OF REVISIONS DURING PERMIT TERM

REV.		DATE
NUMBER	REVISION DESCRIPTION	APPROVED

### **SECTION 5 COMPLIANCE INFORMATION**

### 5.1 Suspended or Revoked Permits and Forfeited Bonds

The applicant or any subsidiary, affiliate, or persons controlled by or under common control with the applicant has not had a federal or state coal mining permit suspended or revoked nor has forfeited a performance bond or similar security deposited in lieu of bond in the five years preceding the date of submission of this application.

### 5.2 Violations

Appendix 5.A lists all violation notices received by the applicant, any subsidiary, affiliate, or persons controlled by or under common control with the applicant in connection with any surface coal mining and reclamation operation during the three-year period preceding the application.

### Appendix 5.A

List of Notices of Violations

### Appendix 5.A Attachment 1

Navajo Transitional Energy Company (Permittee)

List of Notices of Violations

	SCHEDULE OF VIOLATIONS FOR NAVAJO TRANSITIONAL ENERGY CORPORATION AND ITS AFFILATES AS OF JUNE 27, 2021  Report for the three-year prior period								
Violation Identification	Permit Identification	Date of Issuance	Issuing Regulatory Agency	Description of Violation	Types of Administrative or Judicial Proceedings	Current Status of Proceedings & Notice (and final resolution)	Actions Taken to Abate the Violation		

Operator: Bisti Fuels Company, LLC, a Nevada limited liability company (a wholly-owned subsidiary of The North American Coal Corporation)

P.O. Box 3767

Farmington, New Mexico 87499 Telephone No. (505) 598-4200

Employer I.D.: 47-4822674

Permittee Receiving Violation:

Navajo Transitional Energy Company, LLC ("NTEC")

P.O. Box 958

Shiprock, New Mexico 87420-0958 Telephone No. (505) 278-8625

OSMRE - Office of Surface Mining Reclamation and Enforcement, Western Region Office

MMD - State of New Mexico Energy, Minerals and Natural Resources Department, Mining and Minerals Division

Mine: Navajo Mine, San Juan County, New Mexico

MSHA I.D.: 29-00097

Note: The following permits are owned by Navajo Transitional Energy Company, LLC.

Navajo Mine

No NOVs issued to Bisti Fuels Company, LLC, as Operator in the last 3 years.

Pinabete Permit Area

No NOVs issued to Bisti Fuels Company, LLC, as Operator in the last 3 years.

	SCHEDULE OF VIOLATIONS FOR NAVAJO TRANSITIONAL ENERGY CORPORATION AND ITS AFFILATES AS OF JUNE 27, 2021  Report for the three-year prior period								
Violation Identification	Permit Identification	Date of Issuance	Issuing Regulatory Agency	Description of Violation	Types of Administrative or Judicial Proceedings	Current Status of Proceedings & Notice (and final resolution)	Actions Taken to Abate the Violation		

Operator: Navajo Transitional Energy Company ("NTEC")

P.O. Box 958

P.O. Box 958

Shiprock, New Mexico 87420-0958 Telephone No. (505) 278-8625

Employer I.D.: 46-4009272

Permittee Receiving

Navajo Transitional Energy Company, LLC ("NTEC")

Violation:

Shiprock, New Mexico 87420-0958

Telephone No. (505) 278-8625

OSMRE - Office of Surface Mining Reclamation and Enforcement, Western Region Office DEQ - State of Montana Department of Environmental Quality, Air, Energy , and Mining Division

Mine: Spring Creek Mine, Big Horn County, Montana

MSHA I.D.: 24-01457

79012 79012 9/30/2019 OSMRE Failure to pay third quarter 2019 Abandoned Admit

Administrative Conditional

NTEC & OSMRE have established a payment plan for the missing AML Fees.

	SCHEDULE OF VIOLATIONS FOR NAVAJO TRANSITIONAL ENERGY CORPORATION AND ITS AFFILATES AS OF JUNE 27, 2021  Report for the three-year prior period								
Violation Identification	Permit Identification	Date of Issuance	Issuing Regulatory Agency	Description of Violation	Types of Administrative or Judicial Proceedings	Current Status of Proceedings & Notice (and final resolution)	Actions Taken to Abate the Violation		

Navajo Transitional Energy Company ("NTEC") Operator:

P.O. Box 958

Shiprock, New Mexico 87420-0958 Telephone No. (505) 278-8625

46-4009272 Employer I.D.:

Navajo Transitional Energy Company, LLC ("NTEC")

Permittee Receiving Violation:

P.O. Box 958

Shiprock, New Mexico 87420-0958

Telephone No. (505) 278-8625

OSMRE - Office of Surface Mining Reclamation and Enforcement, Appalachian Region Office

TDEC - State of Tennessee Department of Environment and Conservation

Sequatchie Valley Coal Mine:

MSHA I.D.: 40-00759

NO VIOLATIONS IN THE LAST 3 YEARS

### Appendix 5.A Attachment 2

Bisti Fuels Company, LLC (Disclosed Agent and Operator)
List of Notices of Violations

	SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021  Report for the three-year prior period								
Violation Identification	Permit Identification	Date of Issuance	Issuing Regulatory Agency	Description of Violation	Types of Administrative or Judicial Proceedings	Current Status of Proceedings & Notice (and final resolution)	Actions Taken to Abate the Violation		

Operator: Bisti Fuels Company, LLC, a Nevada limited liability company (a wholly-owned subsidiary of The North American Coal Corporation)

P.O. Box 3767

Farmington, New Mexico 87499 Telephone No. (505) 598-4200

Employer I.D.: 47-4822674

Permittee Receiving Violation:

Navajo Transitional Energy Company, LLC ("NTEC")

P.O. Box 958

Shiprock, New Mexico 87420-0958 Telephone No. (505) 278-8625

OSMRE - Office of Surface Mining Reclamation and Enforcement, Western Region Office

MMD - State of New Mexico Energy, Minerals and Natural Resources Department, Mining and Minerals Division

Mine: Navajo Mine, San Juan County, New Mexico

MSHA I.D.: 29-00097

SMCRA Permit NM-0003H Navajo Mine

No NOVs issued to SMCRA Permit NM-0003H in the past 3 years

SMCRA Permit NM-0042A Pinabete Permit Area

No NOVs issued to SMCRA Permit NM-0042A in the past 3 years

### SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021 Report for the three-year prior period Violation Identification Permit Identification Date of Issuance Issuing Regulatory Agency Description of Violation Types of Administrative or Judicial Proceedings & Notice (and final resolution) Actions Taken to Abate the Violation

Operator: Caddo Creek Resources Company, L.L.C., a Nevada limited liability company (a wholly-owned subsidiary of The North American Coal Corporation)

3900 FM 1186

Marshall, Texas 75672 Telephone No. (903) 927-1130

Employer I.D.: 27-0789229

Permittee Receiving Violation:

Marshall Mine, L.L.C.

3200 University Avenue Marshall, Texas 75670

Telephone No. (903) 923-1022

Mine: Marshall Mine

Harrison County, Marshall, Texas

MSHA I.D.: 41-04864

RCT - Railroad Commission of Texas

TCEQ - Texas Commission on Environmental Quality

No NOVs issued to Caddo Creek Resources Company, L.L.C., as Operator in the last 3 years.

#### SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021 Report for the three-year prior period **Current Status of** Types of Administrative or Actions Taken to Abate the Violation Identification Permit Identification Date of Issuance **Description of Violation** Proceedings & Notice (and **Issuing Regulatory Agency** Judicial Proceedings Violation final resolution) FORMER OPERATOR: Camino Real Fuels, L.L.C., a Nevada limited liability company (a wholly-owned subsidiary of The North American Coal Corporation)

5340 Legacy Drive, Suite 300

Plano, Texas 75024

Telephone No. (972) 448-5400

Employer I.D.: 26-1242961

Permittee Receiving Violation:

Dos Republicas Coal Partnership, a Texas partnership

415 Madison Street

Eagle Pass, Texas 78852 Telephone No. (830) 421-5018

Mine: Eagle Pass Mine

Maverick County, Eagle Pass, Texas 41-03739; Issued 9/1/1992

MSHA I.D.:

RCT - Railroad Commission of Texas

TCEQ - Texas Commission on Environmental Quality

### Effective 8/20/2020, Camino Real Fuels, L.L.C. is no longer the Operator of Eagle Pass Mine

126A	42B	4/19/2018 RCT	Failure to stabilize stockpiled material, failure Penalty assessed at \$8,250 to replace subsoil and topsoil within approved distance requirements, failure to plant temporary or permanent vegetation in disturbed areas within 60 days of suitable material placement and failure to control undesirable plant growth.	Terminated 5/21/2018	The stockpiles were mulched, topsoil and subsoil were placed and graded to the required distances, all areas were planted and areas of undesirable plant growth were shredded.
128A	42B	6/27/2018 RCT	Conducted mining related activities outside Penalty assessed at \$1,500 the permit boundary and bonded area.	Terminated 6/27/2018	The broken brush and topsoil berm were removed and the disturbed area was smoothed, planted and mulched. A silt fence was installed along permit boundary to prevent offsite impacts in the future.
132A	42B	4/2/2019 RCT	Began transporting spoil from mining area Penalty assessed at \$4,750 using a haul road crossing prior to such haul road crossing being certified as a primary road.	Terminated 4/15/2019	All mining activity in the area was suspended once the violation was issued. Engineer's certifications were submitted prior to the issuance of the violation.
133A	42B	4/2/2019 RCT	Began surface mining activity in area prior to Penalty assessed at \$6,500 completion of the siltation structure certifications	Terminated 4/15/2019	All mining activity in the area was suspended. Engineer's certifications of the structures were submitted prior to the issuance of the violation.

Note: Violations issued to the Permittee, Dos Republicas Coal Partnership.

#### SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021

Report for the three-year prior period

Violation Identification	Permit Identification	Date of Issuance	Issuing Regulatory Agency	Description of Violation	Types of Administrative or Judicial Proceedings	Current Status of Proceedings & Notice (and final resolution)	Actions Taken to Abate the Violation
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Permittee Receiving Centennial Natural Resources, LLC, a Nevada limited liability company (an indirect subsidiary of The North American Coal Corporation)

Violation: P.O. Box 2420

Jasper, Alabama 35502 Telephone No. (205) 295-2224

Employer I.D.: 46-4161047

Reed Minerals, Inc.

Former Permittee P.O. Box 2420

Receiving Violation: Jasper, Alabama 35502

Effective January 1, 2015 Centennial Natural Resources, LLC acquired active permits from Reed Minerals, Inc.

ASMC - Alabama Surface Mining Commission

ADEM - Alabama Department of Environmental Management

MSHA I.D.: 01-02996, 01-03319, 01-03456, 01-03116,

01-03320, 01-03321, 01-03393, 01-03370, 01-03374, 01-03398,

01-03455

Mine: Jap Creek, Walker County, Goodsprings, Alabama

None in the past 3 years

Mine: Hay Valley No. 1, Walker County, Parrish, Alabama

None in the past 3 years; 100% released from ASMC 10/20/2016

Mine: Hay Valley No. 2, Walker County, Parrish, Alabama

None in the past 3 years; 100% released from ASMC on 7/12/2016

Mine: Waste Recovery No. 1, Walker County, Goodsprings, Alabama

None in the past 3 years

Mine: South F, Walker County, Goodsprings, Alabama

None in the past 3 years

Mine: Nicholas, Walker County, Oakman, Alabama

None in the past 3 years; 100% released from ASMC on 2/12/2015

Mine: Mine No. 5, Walker County, Dovertown, Alabama

Effective 6/25/2018, Centennial is no longer the Permittee/Operator of Permit P3957 Mine No. 5. Permit transferred to Mays Mining Inc.

None in the past 3 years

Mine: L Massey West, Marion County, Alabama

None in the past 3 years; 100% released from ASMC on 1/20/2015

Mine: L Massey South, Marion and Fayette Counties, Alabama

None in the past 3 years; 100% released from ASMC 11/24/2015

Mine: Davis Creek West, Tuscaloosa County, Alabama

Effective 8/21/2017, Centennial is no longer the Permittee/Operator of Permit P3871 Davis Creek Mine. Permit transferred to Cahaba Resources, LLC

None in the past 3 years

Mine: Poplar Springs, Winston and Walker Counties, Alabama

None in the past 3 years; 100% released from ASMC 7/15/2020

Mine: Poplar Springs North, Winston and Walker Counties, Alabama

None in the past 3 years; 100% released from ASMC 11/16/2020

Mine: Buttahatchee, Marion County, Alabama

Effective 12/14/2017, Centennial is no longer the Permittee/Operator of Permit P-3893 Buttahatchee Mine. Permit transferred to Yellowhammer Energy Solutions LLC

	SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021  Report for the three-year prior period							
Violation Identification	Permit Identification	Date of Issuance	Issuing Regulatory Agency	Description of Violation	Types of Administrative or Judicial Proceedings	Current Status of Proceedings & Notice (and final resolution)	Actions Taken to Abate the Violation	

Mine: Crescent Valley, Walker County, Alabama

Effective 2/14/2018, Centennial is no longer the Permittee/Operator of Permit P-3897 Crescent Valley Mine. Permit transferred to Job Land & Mineral, Inc.

Mine: Meredyth, Franklin County, Alabama

Effective 10/19/2017, Centennial is no longer the Permittee/Operator of Permit P-3899 Meredyth Mine. Permit transferred to Job Land & Mineral, Inc.

Mine: Kansas No. 2 Mine, Walker County, Alabama

Effective 4/11/2019, Centennial is no longer the Permittee/Operator of Permit P3936 Kansas No. 2 Mine. Permit transferred to Mays Mining, Inc.

Mine: Weller Mine, Walker County, Alabama

Effective 5/10/2017, Centennial is no longer the Permittee/Operator of Permit P-3965 Weller Mine. Permit transferred to Cahaba Resources, LLC

Mine: Burton Bend No. 3, Walker County, Sipsey, Alabama

Effective 8/22/2019, Centennial is no longer the Permittee of Permit P-3983 Burton Bend No. 3 Mine. Permit transferred to Mays Mining, Inc.

None in the past 3 years

## SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021 Report for the three-year prior period Violation Identification Permit Identification Date of Issuance Issuing Regulatory Agency Description of Violation Types of Administrative or Judicial Proceedings & Notice (and final resolution) Actions Taken to Abate the Violation

Former Permittee/Operator: Centennial Natural Resources, LLC, a Nevada limited liability company (an indirect subsidiary of The North American Coal Corporation)

P.O. Box 2420

Jasper, Alabama 35502 Telephone No. (205) 295-2224

Employer I.D.: 46-4161047

Former Permittee and C & H Mining Company, Inc.

Operator Receiving

P.O. Box 2420

Violation: Jasper, Alabama 35502

C & H Mining Company, Inc. assigned Centennial Natural Resources, LLC as the Operator of the mine listed below effective January 1, 2015.

ASMC - Alabama Surface Mining Commission

ADEM - Alabama Department of Environmental Management

MSHA I.D.: 01-03182

Mine: Lindbergh No. 2, Jefferson County, Adamsville, Alabama

Effective 8/4/2020, Centennial is no longer the Permittee of P-3765-11 Lindbergh No. 2 Mine. Permit transferred to Mays Mining, Inc.

No NOVs issued to Centennial Natural Resources, LLC, as Operator in the last 3 years.

### SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021

Report for the three-year prior period

iolation Identification Date of Issuance Issuing Regulatory Agend	Description of Violation	Types of Administrative or Judicial Proceedings	Current Status of Proceedings & Notice (and final resolution)	Actions Taken to Abate the Violation
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Former Operator: Centennial Natural Resources, LLC, a Nevada limited liability company (an indirect subsidiary of The North American Coal Corporation)

P.O. Box 2420

Jasper, Alabama 35502 Telephone No. (205) 295-2224

Employer I.D.: 46-4161047

Permittee Receiving

Violation:

McWane, Inc.

1201 Vanderbilt Road

Birmingham, Alabama 35507 Telephone No. (205) 322-3521

Former Operator: Reed Minerals, Inc.

P.O. Box 2420

Jasper, Alabama 35502

Reed Minerals, Inc. assigned Centennial Natural Resources, LLC as the Operator of the mines listed below effective January 1, 2015.

ASMC - Alabama Surface Mining Commission

ADEM - Alabama Department of Environmental Management

MSHA I.D.: 01-03376

Mine: Empire Town Creek, Walker County, Dilworth, Alabama

Effective 5/22/2019, Centennial is no longer the Operator of Empire Town Creek

None in the past 3 years

Mine: Burton Bend No. 1, Walker County, Sipsey, Alabama

Effective 5/22/2019, Centennial is no longer the Operator of Burton Bend No. 1

None in the past 3 years

Mine: Burton Bend No. 2, Walker County, Sipsey, Alabama

Effective 5/22/2019, Centennial is no longer the Operator of Burton Bend No. 2

None in the past 3 years

Mine: No Business Creek, Walker County, Empire, Alabama

Effective 8/8/2016, Centennial is no longer the Operator of No Business Creek

None in the past 3 years

Note: No NOVs issued to Centennial Natural Resources, LLC, as the Operator or to Reed Minerals, Inc., as the former Operator in the last 3 years.

# SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021 Report for the three-year prior period Violation Identification Permit Identification Date of Issuance Issuing Regulatory Agency Issuing Regulatory Agency Description of Violation Types of Administrative or Judicial Proceedings & Notice (and final resolution) Actions Taken to Abate the Violation

Former

Permittee/Operator:

Centennial Natural Resources, LLC, a Nevada limited liability company (an indirect subsidiary of The North American Coal Corporation)

P.O. Box 2420

Jasper, Alabama 35502 Telephone No. (205) 295-2224

Employer I.D.: 46-4161047

Former Permittee
Receiving Violation:

WB Mining, LLC.
120 Kingsley Roa

120 Kingsley Road Alabaster, Alabama 35507 Telephone No. (205) 706-4148

Former Operator: C & H Mining Company, Inc.

P.O. Box 2420

Jasper, Alabama 35502

ASMC - Alabama Surface Mining Commission

ADEM - Alabama Department of Environmental Management

MSHA I.D.: 01-03182

Mine: Fish Trap No. 1, Jefferson County, Mulga, Alabama

Effective 8/4/2020, Centennial is no longer the Permittee of P-3813 Fishtrap Mine No. 1. Permit transferred to Mays Mining, Inc.

Mine: Fish Trap No. 2, Jefferson County, Mulga, Alabama

Effective 8/4/2020, Centennial is no longer the Permittee of P-3930 Fishtrap Mine No. 2. Permit transferred to Mays Mining, Inc.

No NOVs issued to Centennial Natural Resources, LLC, as the Former Operator or to C & H Mining Company, Inc., as the Former Operator in the last three years.

# SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021 Report for the three-year prior period Violation Identification Permit Identification Date of Issuance Issuing Regulatory Agency Description of Violation Types of Administrative or Judicial Proceedings & Notice (and final resolution) Actions Taken to Abate the Violation

Permittee/Operator

The Coteau Properties Company, an Ohio corporation (a wholly-owned subsidiary of The North American Coal Corporation)

Receiving Violation: 20

204 County Road 15 Beulah, North Dakota 58523

Telephone No. (701) 873-2281

Employer I.D.: 34-1105141

Mine: Freedom Mine

Beulah, North Dakota 58523

MSHA I.D.: 32-00595; Issued 01/16/1978

NDPSC - North Dakota Public Service Commission

#### SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021 Report for the three-year prior period **Current Status of** Actions Taken to Abate Types of Administrative or Judicial Violation Identification Permit Identification Date of Issuance Issuing Regulatory Agency **Description of Violation** Proceedings & Notice (and Proceedings the Violation final resolution)

Permittee/Operator Coyote Creek Mining Company, L.L.C., a Nevada limited liability company (a wholly-owned subsidiary of The North American Coal Corporation)

Receiving Violation: 6502 17th Street SW

Zap, North Dakota 58580

Telephone No. (701) 873-7800

Employer I.D.: 45-3448979

Mine: Coyote Creek Mine

Mercer County, Beulah, North Dakota

MSHA I.D.: 32-01028; Issued on 3/10/2014

NDPSC - North Dakota Public Service Commission

## SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021 Report for the three-year prior period Violation Identification Permit Identification Date of Issuance Issuing Regulatory Agency Description of Violation Types of Administrative or Judicial Proceedings Notice (and final resolution) Actions Taken to Abate the Violation

Operator: Demery Resources Company, L.L.C., a Nevada limited liability company (a wholly-owned subsidiary of The North American Coal Corporation)

839 Five Forks Road Saline, Louisiana 71070

Telephone No. (318) 875-5000

Employer I.D.: 26-4801405

Permittee Receiving Five Forks Mining, LLC, a Delaware limited liability company

Violation: 839 Five Forks Road

Saline, Louisiana 71070

Mine: Five Forks Mine

Natchitoches Parish, Louisiana MSHA I.D.: MSHA 16-01499; Issued 12/29/2009

LOC - Louisiana Office of Conservation

19-01-01TV1 LSM-5 10/30/2019 LOC Failure to construct and maintain appropriate Total civil penalty reassessment at \$1,200 Terminated 1/14/2020

sediment control measures

Constructed silt fence to reduce sediment flow and constructed additional stormwater control BMPs along permit boundary to prevent offsite impacts

# SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021 Report for the three-year prior period Violation Identification Permit Identification Date of Issuance Issuing Regulatory Agency Description of Violation Types of Administrative or Judicial Proceedings & Notice (and final resolution) Actions Taken to Abate the Violation

Permittee/Operator The

The Falkirk Mining Company, an Ohio corporation (a wholly-owned subsidiary of The North American Coal Corporation)

Receiving Violation: 2801 First Street, Southwest

P.O. Box 1087

Underwood, North Dakota 58576-1087

Telephone No. (701) 442-5751

Employer I.D.: 34-1150894

Mine: Falkirk Mine

Underwood, North Dakota 58576
MSHA I.D.: MSHA 32-00491; Issued 07/31/1975

NDPSC - North Dakota Public Service Commission

	SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021  Report for the three-year prior period							
Violation Identification	Permit Identification	Date of Issuance	Issuing Regulatory Agency	Description of Violation	Types of Administrative or Judicial Proceedings	Current Status of Proceedings & Notice (and final resolution)	Actions Taken to Abate the Violation	

Permittee/Operator Liberty Fuels Company, L.L.C., a Nevada limited liability company (a wholly-owned subsidiary of The North American Coal Corporation)

Receiving Violation:

4707 Highway 493 DeKalb, Mississippi 39328 Telephone No. (601) 737-7000 26-3815854

Employer I.D.:

Mine:

Liberty Mine

Kemper County, Dekalb, Mississippi 39328 MSHA 22-00803; Issued 12/08/2010

MSHA I.D.:

MDEQ - Department of Environmental Quality in Mississippi

# SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021 Report for the three-year prior period Violation Identification Permit Identification Date of Issuance Issuing Regulatory Agency Description of Violation Types of Administrative or Judicial Proceedings Current Status of Proceedings & Notice (and final resolution) Actions Taken to Abate the Violation

Permittee/Operator

Mississippi Lignite Mining Company, a joint venture organized under Texas law (a subsidiary of The North American Coal Corporation)

Receiving Violation: 1000 McIntire Road

Ackerman, MS 39735

Telephone No. (662) 387-5200

Employer I.D.: 75-2724822

Mine: Red Hills Mine

Ackerman, Mississippi 39735

MSHA I.D.: MSHA 22-00690; Issued 08/26/1997

MDEQ - Department of Environmental Quality in Mississippi

## SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021 Report for the three-year prior period Violation Identification Permit Identification Date of Issuance Date of Issuance Issuing Regulatory Agency Description of Violation Description of Violation Types of Administrative or Judicial Proceedings & Notice (and final resolution) Actions Taken to Abate the Violation

Former Permittee/

Reed Minerals, Inc., an Alabama corporation (an indirect subsidiary of The North American Coal Corporation)

Operator Receiving Violation:

P.O. Box 2420

Jasper, Alabama 35502

Telephone No. (205) 295-2224

Employer I.D.: 63-0799376

ASMC - Alabama Surface Mining Commission

ADEM – Alabama Department of Environmental Management

MSHA I.D.: 01-03293,

01-03116

Mine: Dulin, Marion County, Alabama

None in the past 3 years; Released from reclamation bond on 12/31/2014

Mine: L Massey, Marion County, Alabama

None in the past 3 years; Released from reclamation bond on 12/31/2014

SCHEDULE OF VIOLATIONS FOR THE NORTH AMERICAN COAL CORPORATION AND ITS AFFILATES AS OF FEBRUARY 10, 2021  Report for the three-year prior period								
Violation Identification	Permit Identification	Date of Issuance	Issuing Regulatory Agency	Description of Violation	Types of Administrative or Judicial Proceedings	Current Status of Proceedings & Notice (and final resolution)	Actions Taken to Abate the Violation	
Permittee/Operator Receiving Violation:	The Sabine Mining Company, a Nevada corporation (a wholly-owned subsidiary of The North American Coal Corporation) 6501 Farm Road 968 West Hallsville, Texas 75650-7413							
Employer I.D.:	Telephone No. (903) 660-4200 31-1005293							
Mine:	South Hallsville No. 1 Mine Hallsville. Texas 75650							
MSHA I.D.:	MSHA 41-03101; Issued 11/02/1981		RCT - Railroad Commission of Texas TCEQ - Texas Commission on Environmental Quality					
312T	55A	10/11/2018	RCT	Permittee conducted surface mining activities outside of the approved disturbance boundary.	No penalty assessed		Ceased mining activities outside of approved disturbance boundary. Stablized disturbed areas by hand planting and	
314T	331	12/18/2020	RCT	Failure to control discharge of less than 6.0 pH due to a leaking valve	Penalty assessed at \$4,250		Valve was temporarily patched to immediately stop the leak, then the	

valve was replaced with